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#### STICKING COEFFICIENTS OF NEUTRALS IN AN RF FLUOROCARBON DISCHARGE

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- Introduction
- Description of the Model
  - Hybrid Plasma Equipment Model (HPEM)
  - Surface Kinetics Module (SKM)
- $\bullet\ C_m F_n$  Plasma Surface Reaction Mechanism
- CF<sub>2</sub> Density vs. Bias
- CF<sub>2</sub> Density vs. Pressure
- Summary

- C<sub>m</sub>F<sub>n</sub> plasmas are widely used for Si/SiO<sub>2</sub> etching due to their favorable kinetic properties and high selectivity.
- CF<sub>x</sub> radicals passivate the wafer surface and so influence etching behavior.
- Plasma-surface interactions can influence gas phase radical densities.
- A better understanding of the mechanism of the reactions occurring at the surface, and the influences on the surface reactions resulting from different processing parameters, can help optimize the process.
- Our goal: By coupling surface reaction modeling with a plasma physics-plasma chemistry simulator, we numerically investigate the mechanism of surface reactions, and characterize their influence on plasma properties and process kinetics.

## HYBRID PLASMA EQUIPMENT MODEL (HPEM)

- Modular simulator addressing low temperature, low pressure plasmas.
- EMM calculates electromagnetic fields and magneto-static fields.
- EETM computes electron impact source functions and transport coefficients.
- FKM derives the densities, momentum and temperature of plasma species.
- VPEM shell can be added to HPEM for process control.



- The Surface Kinetics Model (SKM) is an integrated module of the HPEM.
- The SKM uses reactant fluxes to surfaces from the HPEM, together with a user defined reaction mechanism, as inputs for the calculation.
- The SKM updates surface sticking and product reflection coefficients used as surface boundary conditions in the HPEM, and calculates surface coverages and etch rates.



• The SKM is a multi-layer surface site balance model at every mesh point along the plasma-surface boundary.

## ENERGY DEPENDENCE OF REACTION PROBABILITY

- All surface reactions involving ion reactants in the SKM allow for ion energy dependence.
- lons are accelerated to the surface through the sheath, arriving on the surface with energy of

$$E_{ion} = Q f(r) V_{sh}(r)$$

where

- Q: ion charge.
- f(r): Ratio of ion mean free path to sheath thickness.
- $V_{sh}(r)$ : Sheath voltage drop.
- Surface reactions have a general energy dependence given by

$$K = K_0 (E_{ion}^n - E_{th}^n) / (E_{ref}^n - E_{th}^n)$$

where

E<sub>ion</sub>: Incident ion energy.

- $K_0$ : Etching yield or reaction probability for ion with energy  $E_{ref}$ .
- $E_{th}$ : Threshold energy for the process.
- n: Energy dependence (1/2 for this work).
- lons with higher bombarding energy produces higher etch yield.

- It has been observed in C<sub>m</sub>F<sub>n</sub> discharge that surfaces contribute differently to the CF/CF<sub>2</sub> radicals under different conditions. A surface can act either as sources, or conversely a sink, for the CF/CF<sub>2</sub> radicals.
- •The net effect of the surface to the CF/CF<sub>2</sub> density is due to the summation of several primary interactions:
  - $C_xF_y$  radicals (CF, CF<sub>2</sub>,  $C_2F_4$ ,  $C_2F_5$ , etc.) sticking to the surface to polymerize, forming a passivation layer on the surface.
  - Ion sputtering of the polymer layer to release  $CF/CF_2$  from the surface.
  - F atoms etching of the polymer layer.



Axial radical density distribution\*

\* J.P. Booth et. al, *J. Appl. Physics* 85, 3097(1999)

#### SIMULATION PARAMETERS



OPTICAL AND DISCHARGE PHYSICS

### CF<sub>2</sub> DENSITY DISTRIBUTION

- Simulation was performed at  $V_{bias}$ =400 V, P=50 mtorr.
- The CF<sub>2</sub> density peaks close to the corner of the electrode because of field enhancement.
- CF<sub>2</sub> density decreases from the lower electrode to the upper electrode.
- The lower electrode acts as a source and the upper electrode acts as a sink for CF<sub>2</sub> radical.





- Simulations were performed for different biases: 60, 100, 200, 300, 400 V.
- At the upper electrode, the sheath voltage drops are low for all conditions, so the ion sputtering yields of CF<sub>2</sub> are low compared with the CF<sub>2</sub> sticking loss rate. The net effect of the upper electrode is a sink for CF<sub>2</sub>.
- At the lower electrode, the sheath voltage drop increases with the increase of the bias, so more CF<sub>2</sub> is generated. The slope of the axial distribution increases with the increase of the bias.



OPTICAL AND DISCHARGE PHYSICS

#### CF<sub>2</sub> DENSITY DISTRIBUITON: LOW BIAS

- When the bias was as low as 30 V, simulation showed that the CF<sub>2</sub> density decreases at both electrodes due to low ion sputtering yield under low bias.
- Experiments by Booth et. al. Produced similar results.\*



\* J.P.Booth, G.Cunge, P.Chabert, and N. Sadeghi, J. Appl. Phys. 85, 3097 (1999)

#### PRESSURE DEPENDENCE OF $CF_2$ DENSITY DISTRIBUTION

- The axial CF<sub>2</sub> density distributions at r=4 cm and r=1 cm were obtained for different pressures (30, 50, 70 mtorr) with a 400 V bias.
- At r=4 cm, the slopes for different pressures are similar.
- At r=1 cm, the slopes decrease with increasing pressure.



#### PRESSURE DEPENDENCE OF CF<sub>2</sub> DENSITY DISTRIBUITON (cont.)

- At r=4 cm,  $\Phi(\text{ion}) / n(CF_2)$  for different pressures are similar, so the net effect of the wall to the CF<sub>2</sub> density is nearly the same. This leads to similar CF<sub>2</sub> distributions for different pressures.
- At r=1 cm,  $\Phi(\text{ion}) / n(CF_2)$  decreases with increasing pressure, so the net CF<sub>2</sub> generation from wall reactions decreases. This leads to smaller distribution slope at higher pressure.



# C<sub>2</sub>F<sub>6</sub> ETCHING OF Si IN AN ICP REACTOR

- Simulations were performed on a  $C_2F_6$  discharge in an ICP reactor with an rf bias.
- The CF<sub>2</sub> sticking coefficient at the wall was changed to simulate the variation of reactor wall temperature.
- The consequences on plasma properties and Si etch rate were investigate.



## REACTION MECHANISM FOR $C_2F_6$ ETCHING OF Si

- The reaction mechanism for C<sub>2</sub>F<sub>6</sub> etching of Si was based on the work of G. S. Oehrlein et. al\*.
- A C<sub>x</sub>F<sub>y</sub> polymer layer is formed on the Si surface in coincidence with Si etching. The steady state passivation layer thickness is a balanced result of CF<sub>x</sub> deposition, ion sputtering and F etching of the layer.
- Si etching precursor (F) needs to diffuse through the passivation layer.



# INFLUENCE OF WALL TEMPERATURE

- Experiments showed a variation of radical densities as the reactor wall temperature changes.\* We simulated the consequences of wall temperature variation by modifying the sticking coefficient of CF<sub>2</sub> to the wall.
- With increasing  $T_{wall}$ , the  $CF_2$  loss rate is smaller due to the lower sticking coefficient, which produces an increase of  $CF_2$  density in the bulk plasma.
- The resulting gas chemistry favors consumption of F atoms. So increased CF<sub>2</sub> density induces decreased F density.



\* M.Scharkens, R.C.M. Bosch, and G.S. Oehrlein, J. Vac. Sci. Technol. A 16(1), 239 (1998)

#### PASSIVATION LAYER AND ETCH RATE

- With the decrease of the CF<sub>2</sub> sticking coefficient to the wall (reactor wall temperature increases), the CF<sub>2</sub> radical flux to the wafer increases due to the increased CF<sub>2</sub> density. This leads to thicker passivation layer.
- The diffusion flux of the Si etching precursor (F) through the passivation layer decreases for thicker passivation, and so the etch rate drops.



#### SUMMARY

- The Surface Kinetics Module (SKM) was developed in coupling with the Hybrid Plasma Equipment Model (HPEM) to obtain fully integrated simulation of plasma physics, plasma chemistry and surface chemistry.
- The surface reaction mechanism of  $C_2F_6$  discharge involves  $C_xF_y$  deposition, F etching, and ion sputtering of a passivation layer.
- The net effect of a surface to  $CF_2$  density depends on the relative strength of the deposition loss and ion sputtering generation of  $CF_2$ .
- Increasing ion energy or ion to neutral flux ratio can enhance the generation of CF<sub>2</sub> from a surface.